



# ELEC4631 Continuous-Time Control System Design

## COURSE STAFF

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**Consultations:** You are encouraged to ask questions on the course material, after the lecture class times in the first instance, rather than via email. Lecturer consultation times will be advised in this course outline. You are welcome to email the course convener, who can answer your questions relating to the tutorials and labs. ALL email enquiries should be made from your student email address with ELEC4631 in the subject line, otherwise they may not be answered.

**Keeping Informed:** Announcements may be made during classes, via email (to your student email address) and/or via online learning and teaching platforms – in this course, we will use Moodle <https://moodle.telt.unsw.edu.au/login/index.php>. Please note that you will be deemed to have received this information, so you should take careful note of all announcements.

## COURSE SUMMARY

### Contact Hours

The course consists of 2 or 4 hours of lectures in a week, 1 or 2 hour of tutorials in a week, and a 3-hour laboratory session every two weeks. Laboratories start in Week 2 and will be carried out in in face-to-face labs or via remote access. There is also one consultation hour every week starting from Week 3. **Attendance will be taken for all laboratories. Please only attend lab groups that you signed up for.**

	Day	Time	Location
<b>Lectures</b>	Monday (Weeks 1-2,4-10)	09-11	Online
	Thursday (Week 3)	09-11	
	Fri (Weeks 1-4)	10-12	
<b>Tutorials</b>	Tuesday (Weeks 2-10)	16	Webst Th A and online
	Friday (Weeks 3-5)	09	
<b>Consultation</b>	TBA	TBA	Online

### Context and Aims

ELEC 4631 will give students an introduction to elements of modern control theory based on state space representations of dynamical systems. Over the years, modern systems and control theory has found numerous interesting applications in broad areas of automation, signal processing, communication, economics, finance, circuit analysis, mechanical and civil engineering, aeronautics, navigation and guidance etc. The purpose of this course is to expand the students' knowledge of the field of control engineering and systems and control theory by providing them with fundamental concepts and problem solutions from modern systems and control theory that are useful for the above-mentioned applications.

The aims of the course are to:

1. Further enhance students' understanding of simple as well as more complex continuous-time control systems.
2. Give a deeper introduction to state-space representation of continuous-time control systems, particularly for single input single output (SISO) linear systems.
3. Help students to understand the importance of the system state.
4. Familiarise students with the stability concept for linear and nonlinear systems, and controllability and observability concepts for linear systems.
5. Give students an understanding of basic analysis and synthesis tools for state space control systems, including basic design techniques for nonlinear systems.
6. Provide opportunities for students to gain practical experience in the use of computer-based state space design and analysis tools in Matlab and Simulink.

### Indicative Lecture Schedule

Week	Lecture topic	Duration
Week 1	Brief review of linear algebra and vector calculus (Mon)	2 hours
	Brief review of linear algebra and vector calculus (continued, Fri)	2 hours
Week 2	Dynamical systems, state stability, and Lyapunov functions (Mon)	2 hours
	Dynamical systems, state stability, and Lyapunov functions (continued, Fri)	2 hours
Week 3	State-feedback control using Lyapunov functions (Thu)	2 hours
	Linear time invariant state space systems (Fri) <b>Quiz 1</b>	2 hours
Week 4	Controllability, observability, and pole placement (Mon)	2 hours
	Introduction to linear quadratic regulator (LQR) theory (Fri)	2 hours
Week 5	Observers, output feedback, and the first method of Lyapunov (Mon) <b>Mid-term exam</b>	2 hours
Week 6	<b>Flexibility week (no lecture)</b>	
Week 7	An introduction to linear matrix inequalities (LMIs) (Mon) <b>Quiz 2</b>	2 hours
Week 8	Controller and observer synthesis using LMIs (Mon).	2 hours
Week 9	State and output feedback control for reference tracking (Mon)	2 hours
Week 10	Review lecture (Mon)	2 hours

### Indicative Tutorial Schedule

Week	Tutorial number	Duration
Week 2	Tutorial 1: Brief review of linear algebra and vector calculus	1 hour
Week 3	Tutorial 2: Brief review of linear algebra and vector calculus	1 hour
	Tutorial 3: Dynamical systems, state stability, and Lyapunov functions	1 hour
Week 4	Tutorial 4: State-feedback control using Lyapunov functions	1 hour
	Tutorial 5: Linear time invariant state space systems	1 hour
Week 5	Tutorial 6: Controllability, observability, and pole placement	1 hour
	Tutorial 7: Introduction to linear quadratic regulator (LQR)	1 hour
Week 6	<b>Flexibility week (no tutorials)</b>	1 hour
Week 7	Tutorial 8: Observers, output feedback, and the first method of Lyapunov	1 hour
Week 8	Tutorial 9: An introduction to linear matrix inequalities (LMIs)	1 hour
Week 9	Tutorial 10: Controller and observer synthesis using LMIs	1 hour
Week 10	Tutorial 11: State and output feedback control for reference tracking	1 hour

### Indicative Laboratory Schedule

Period	Summary of Laboratory Program
Week 2	Lab 1
Week 3	Lab 1
Week 4	Lab 2
Week 5	Lab 2
Week 6	<b>Flexibility week (no labs)</b>
Week 7	Lab 3
Week 8	Lab 3
Week 9	Lab 4
Week 10	Lab 4

## Assessment

Quiz 1	Take home quiz in Week 3. Covers materials up to Week 2	6%
Mid-term exam	Examination in Week 5 to test your ability to demonstrate your mastery of course materials up to Week 3 and ability to do analytical calculations.	20%
Quiz 2	Take home quiz in Week 7. Covers materials from Week 4 to Week 7	6%
Lab assessment	Assessment in the laboratory by demonstrators on the completion and correctness of the lab work done	12%
Final examination	Examination covering all lecture, quiz and tutorial materials	56%

## COURSE DETAILS

### Credits

This is a 6 UoC course and the expected workload is 15 hours per week throughout the 10-week term.

### Relationship to Other Courses

This is a 4<sup>th</sup> year elective undergraduate course and a 1<sup>st</sup> year/postgraduate course in the School of Electrical Engineering and Telecommunications.

### Pre-requisites and Assumed Knowledge

The pre-requisite for this course is ELEC3114 Control Systems. It is also essential that you are familiar with MATH2069, Mathematics 2A, and MATH2099, Mathematics 2B, before this course is attempted. Students are expected to be familiar and comfortable with:

- **Vector calculus:** functions of several variables, multivariable calculus, scalar fields, vector fields, gradients (MATH2069)
- **Linear algebra:** matrices, matrix inversion, matrix determinant, vectors, vector spaces, basis vectors, linearly independence, linear span of vectors, null/kernel spaces, characteristic equations, eigenvalues, eigenvectors, diagonalisation of a matrix, exponentiation of a matrix, Jordan canonical form (MATH2099)
- **Control systems:** Laplace transform, inverse Laplace transform, transfer functions, poles and zeros, feedback loop, steady-state error (ELEC3114)

### Learning outcomes

After successful completion of this course, you should be able to:

1. Demonstrated understanding of the notion of stability and know how to analyse stability using the direct and indirect methods of Lyapunov.
2. Demonstrate the ability to analyse simple to moderately complex control systems (linear and nonlinear) using Lyapunov theory.
3. Demonstrate a satisfactory understanding of linear systems and the fundamental notions of controllability and observability.
4. Demonstrate the ability to apply concepts and methods from modern control theory to design state feedback controllers, observers, and output feedback controllers for linear systems.
5. Demonstrate the ability to synthesise control systems by convex optimization methodologies.
6. Demonstrate the ability to design tracking controllers for linear state space systems.
7. Demonstrate the ability to synthesise modern state space controllers using Matlab and Simulink.

This course is designed to provide the above learning outcomes which arise from targeted graduate capabilities listed in **Appendix A**. The targeted graduate capabilities broadly support the UNSW and Faculty of Engineering

graduate capabilities (listed in **Appendix B**). This course also addresses the Engineers Australia (National Accreditation Body) Stage I competency standard as outlined in **Appendix C**.

### **Syllabus**

Overview of systems and control engineering with emphasis on modern and post-modern developments. Mathematical tools: matrices, quadratic forms and eigenvalue decompositions. Modelling of linear time-invariant systems by using state space equations. Controllability and observability of linear time invariant systems. Linear quadratic regulator. Servo-regulator control. Lyapunov stability. Observers. State and output feedback control design. Optimisation based techniques.

## **TEACHING STRATEGIES**

### **Delivery Mode**

The teaching in this course aims at establishing a good fundamental understanding of the areas covered using:

- Lectures (online), which provide you with a focus on the core analytical material in the course, together with qualitative, alternative explanations to aid your understanding;
- Tutorials (online), which allow for exercises in problem solving and allow time for you to resolve problems in understanding of lecture material;
- Laboratory sessions (via remote access), which support the formal lecture material and also provide you with practical construction, measurement and debugging skills;
- Online consultations with the lecturer outside of class hours, according to the scheduled times.

### **Learning in this course**

You are expected to attend all online lectures, tutorials, labs, and attempt quizzes and the mid-session exams in order to maximise learning. You must prepare well for your laboratory classes and your lab work will be assessed. Reading additional texts beyond the lecture notes will further enhance your learning experience. Group learning is also encouraged. UNSW *assumes* that self-directed study of this kind is undertaken in addition to attending formal classes throughout the course.

Especially important are learning strategies that you have to adopt.

- Learning is effortful - you have to make the effort.
- You have to develop your own mental models for how things work. I can give you insights, but you have to develop your own "schema".
- You learn from errors and from discovering misconceptions.
- You cannot do this just by listening or reading. You have to try things out.
- Firstly, close your books and explain and write down concepts for yourself or for friends. Check. If your concept is not complete and accurate, do it again. You learn through the tip of your pen.
- Do all the tutorial problems to test your new-found understanding.
- You learn by doing. Make sure you become competent in the laboratory. Always come prepared before attending the labs, and pace yourself to complete in-lab tasks and experiments within the assigned lab time.
- The ultimate test of whether you have learned something is whether you can use it next year, or when you begin working. Only your schema are enduring. You will forget details, and setting out to simply memorise things is worthless - of minor assistance for exams only

### **Tutorial classes**

You should attempt all of your problem sheet questions in advance of attending the tutorial classes. The importance of adequate preparation prior to each tutorial cannot be overemphasized, as the effectiveness and usefulness of the tutorial depends to a large extent on this preparation. Group

learning is encouraged. Answers for these questions will be discussed during the tutorial class and the tutor will cover the more complex questions in the tutorial class.

### **Laboratory program**

The laboratory schedule is deliberately designed to provide practical exposure to the concepts conveyed in lectures after they are covered in class. **In T2 2021 there will be face-to-face and online laboratories. Students who are in Sydney should attend the face-to-face labs. Students attending online labs will be able to remotely access lab computers and interact with demonstrators online during the laboratory sessions. Instructions for online access to the labs will be provided on Moodle.**

You are required to maintain a lab book for recording your observations. A lab book is an A4 size notebook containing a mix of plain pages and graph sheets. You have to purchase your own lab book from any store. **You must attend only the lab group that you are enrolled in. Students must not start late in a lab by more than 10 minutes. Lab demonstrators can refuse to allow a late student to participate in the lab.**

**Laboratory attendance WILL be kept and you are expected to attend all four labs from Week 2 to Week 10. Postgraduate students who did not do ELEC3114 at UNSW are also expected to prepare for the laboratories by carrying out Lab 0 in Week 1 at home and in their own time.**

### **Laboratory Exemption**

There is no laboratory exemption for this course. Regardless of whether equivalent labs have been completed in previous courses, all students enrolled in this course must take the labs. If, for medical reasons or other exceptional circumstances out of your control you are unable to attend a lab, you will need to supply the course convener with a valid medical certificate or other suitable written evidence to support your case for missing a lab, and you will need to apply for a catch-up lab during another lab time, as agreed by the course convener. Missing a lab due to negligence, such as misreading your lab schedule, oversleeping etc, will not be accepted.

## **ASSESSMENT**

The assessment scheme in this course reflects the intention to assess your learning progress through the semester. Ongoing assessment occurs through the lab checkpoints (see lab manual), quizzes, the mid-term exam, and final exam.

### **Quizzes**

The two short take home quizzes are given to provide you with some feedback on some sections of the course. These should be done independently and honestly. Marks will be assigned according to correctness of the responses. Plagiarism is a serious academic misconduct that will entail a heavy penalty.

**Quiz 1 will be released on Week 3 Friday and will be due the following Thursday.**

**Quiz 2 will be released on Week 7 Tuesday and will be due the following Monday.**

**Submissions after the specified deadline (to be announced on Moodle) are automatically deemed as late. Late quiz submissions will attract a deduction of 25% of the full marks per day that they are late.**

### **Laboratory Assessment**

Laboratories are primarily about learning, and the laboratory assessment is designed mainly to check your knowledge as you progress through each stage of the laboratory tasks. You are required to maintain a lab book for recording your observations. A lab book is an A4 size notebook containing a mix of plain pages and graph sheets. You have to purchase your own lab book from any store.

It is essential that you prepare well before coming to the lab. This means reading through the lab manual and reviewing any relevant materials from lectures as required for the lab. You will be recording your observations/readings and your solutions to the lab exercises in your lab book. After completing each experiment, your work will be assessed by the laboratory demonstrator. The demonstrators will keep a recording of the marks that you achieved in any particular lab.

Assessment marks will be awarded according to how much of the lab you were able to complete, your demonstrated understanding of the experiments conducted during the lab, and your demonstrated understanding of the topics covered by the lab.

### Mid-Term Exam

The mid-term examination will test your general understanding of the course material and is designed to give you feedback on your progress through the analytical components of the course. Questions may be drawn from any course material up to the end of Week 3. It will definitely contain numerical and analytical questions. Marks will be assigned according to the correctness of the responses.

**The mid-term exam is scheduled for Week 5 Friday. The mid-term exam will be online, further details will be advised at least a week before the exam date. A penalty for late submissions applies at 25% of the full mark for submissions late by up to at most 5 minutes, 50% for submissions that are more than 5 minutes and up to 10 minutes late, and 75% for submissions that are more than 10 minutes and up to 15 minutes late. No marks will be awarded for submissions more than 15 minutes late.**

**All students are expected to attempt the mid-term exam. If a student is unable to take the mid-term exam at the specified time due to illness or emergencies and are able to provide supporting documentation, they must follow the university Special Consideration procedure as outlined in the section Other Matters below.**

### Final Exam

The final exam for this course will be centrally scheduled during the UNSW T2 examination period. **It will be online and details of the final exam will be advised in Week 10.** The examination tests analytical and critical thinking and general understanding of the course material. Questions may be drawn from any aspect of the course (including laboratory), unless specifically indicated otherwise by the lecturer. Marks will be assigned according to the correctness of the responses. **The same penalties for late submissions apply to the final exam as for the midsession exam.**

### Relationship of Assessment Methods to Learning Outcomes

Assessment	Learning outcomes						
	1	2	3	4	5	6	7
Quiz 1	✓	✓	-	-	-		-
Mid-term exam	✓	✓	✓	-	-		-
Quiz 2	-	-	✓	✓	-		-
Lab assessment	✓	-	-	✓	✓	-	✓
Final exam	✓	✓	✓	✓	✓	✓	-

## COURSE RESOURCES

### On-line resources

#### Moodle

As a part of the teaching component, Moodle will be used to disseminate teaching materials, host forums and occasionally quizzes. Assessment marks will also be made available via Moodle: <https://moodle.telt.unsw.edu.au/login/index.php>.

## Mailing list

Announcements concerning course information will be given in the lectures and/or on Moodle and/or via email (which will be sent to your student email address).

## Lecture notes

Lecture notes will be posted on Moodle.

## Further texts and references

Additional resources that will be useful as references besides the lecture notes are

1. Franklin, Powell and Emami-Naeini, Feedback Control of Dynamic Systems, 6th edition, Addison-Wesley.
2. Jean-Jacques E. Slotine and W. Li, Applied Nonlinear Control, Prentice-Hall, 1991.
3. Two courses EE263 "Introduction to Linear Dynamical Systems" and EE363 "Linear Dynamical Systems" at Stanford University (USA). All info available on the websites: <http://www.stanford.edu/class/ee263/> and <http://www.stanford.edu/class/ee363/>

## OTHER MATTERS

### Dates to note

Important Dates available at: <https://student.unsw.edu.au/dates>

### Academic Honesty and Plagiarism

Plagiarism is the unacknowledged use of other people's work, including the copying of assignment works and laboratory results from other students. Plagiarism is considered a form of academic misconduct, and the University has very strict rules that include some severe penalties. For UNSW policies, penalties and information to help you avoid plagiarism, see <https://student.unsw.edu.au/plagiarism>. To find out if you understand plagiarism correctly, try this short quiz: <https://student.unsw.edu.au/plagiarism-quiz>.

### Student Responsibilities and Conduct

Students are expected to be familiar with and adhere to all UNSW policies (see <https://student.unsw.edu.au/guide>), and particular attention is drawn to the following:

### Workload

It is expected that you will spend at least **15 hours per week** studying a 6 UoC course, from Week 1 until the final assessment, including both formal classes and *independent, self-directed study*. In periods where you need to complete assignments or prepare for examinations, the workload may be greater. Over-commitment has been a common source of failure for many students. You should take the required workload into account when planning how to balance study with employment and other activities.

### Attendance

Regular and punctual attendance at all classes is expected. UNSW regulations state that if students attend less than 80% of scheduled classes they may be refused final assessment.

### General Conduct and Behaviour

Consideration and respect for the needs of your fellow students and teaching staff is an expectation. Conduct which unduly disrupts or interferes with a class is not acceptable and students may be asked to leave the class.

### Work Health and Safety

UNSW policy requires each person to work safely and responsibly, in order to avoid personal injury and to protect the safety of others.



## Special Consideration and Supplementary Examinations

You must submit all assignments and attend all examinations scheduled for your course. You can apply for special consideration when illness or other circumstances beyond your control interfere with an assessment performance. If you need to submit an application for special consideration for an exam or assessment, you must submit the application **prior to the start** of the exam or before the assessment is submitted, except where illness or misadventure prevent you from doing so. Be aware of the “fit to sit/submit” rule which means that if you sit an exam or submit an assignment, you are declaring yourself well enough to do so and cannot later apply for Special Consideration. For more information and how to apply, see <https://student.unsw.edu.au/special-consideration>.

## Continual Course Improvement

This course is under constant revision in order to improve the learning outcomes for all students. Please forward any feedback (positive or negative) on the course to the course convener or via the online student survey myExperience. You can also provide feedback to ELSOC who will raise your concerns at student focus group meetings. As a result of previous feedback obtained for this course and in our efforts to provide a rich and meaningful learning experience, we have continued to evaluate and modify our delivery and assessment methods.

*For T1 2021, the course structure has been modified from T2 2020 by splitting the material in Week 2 into two separate lectures, with the second lecture focusing on the Krasovskii-LaSalle invariance principle. Additional worked examples have also been included in this new structure.*

## Administrative Matters

On issues and procedures regarding such matters as special needs, equity and diversity, occupational health and safety, enrolment, rights, and general expectations of students, please refer to the School and UNSW policies:

<https://student.unsw.edu.au/guide>

<https://www.engineering.unsw.edu.au/electrical-engineering/resources>

## APPENDICES

### Appendix A: Targeted Graduate Capabilities

Electrical Engineering and Telecommunications programs are designed to address the following targeted capabilities which were developed by the school in conjunction with the requirements of professional and industry bodies:

- The ability to apply knowledge of basic science and fundamental technologies;
- The skills to communicate effectively, not only with engineers but also with the wider community;
- The capability to undertake challenging analysis and design problems and find optimal solutions;
- Expertise in decomposing a problem into its constituent parts, and in defining the scope of each part;
- A working knowledge of how to locate required information and use information resources to their maximum advantage;
- Proficiency in developing and implementing project plans, investigating alternative solutions, and critically evaluating differing strategies;
- An understanding of the social, cultural and global responsibilities of the professional engineer;
- The ability to work effectively as an individual or in a team;
- An understanding of professional and ethical responsibilities;
- The ability to engage in lifelong independent and reflective learning.

### Appendix B: UNSW Graduate Capabilities

The course delivery methods and course content addresses a number of core UNSW graduate attributes, as follows:

- Developing scholars who have a deep understanding of their discipline, through lectures and solution of analytical problems in tutorials and assessed by assignments and written examinations.
- Developing rigorous analysis, critique, and reflection, and ability to apply knowledge and skills to solving problems. These will be achieved by the laboratory experiments and interactive checkpoint assessments and lab exams during the labs.
- Developing capable independent and collaborative enquiry, through a series of tutorials spanning the duration of the course.
- Developing digital and information literacy and lifelong learning skills through assignment work.

### Appendix C: Engineers Australia (EA) Professional Engineer Competency Standard

	Program Intended Learning Outcomes	Learning outcomes
PE1: Knowledge and Skill Base	PE1.1 Comprehensive, theory-based understanding of underpinning fundamentals	1,2,3,4,5,6
	PE1.2 Conceptual understanding of underpinning maths, analysis, statistics, computing	1,2,3,4,5,6
	PE1.3 In-depth understanding of specialist bodies of knowledge	1,2,3,4,5,6
	PE1.4 Discernment of knowledge development and research directions	
	PE1.5 Knowledge of engineering design practice	1,2,3,4,5,6,7
	PE1.6 Understanding of scope, principles, norms, accountabilities of sustainable engineering practice	
PE2: Engineering Application Ability	PE2.1 Application of established engineering methods to complex problem solving	1,2,3,4,5,6,7
	PE2.2 Fluent application of engineering techniques, tools and resources	1,2,3,4,5,6,7
	PE2.3 Application of systematic engineering synthesis and design processes	
	PE2.4 Application of systematic approaches to the conduct and management of engineering projects	
PE3: Professional and Personal Attributes	PE3.1 Ethical conduct and professional accountability	
	PE3.2 Effective oral and written communication (professional and lay domains)	7
	PE3.3 Creative, innovative and pro-active demeanour	1,2,3,4,5,6,7
	PE3.4 Professional use and management of information	1,2,3,4,5,6,7
	PE3.5 Orderly management of self, and professional conduct	
	PE3.6 Effective team membership and team leadership	7