

## **Conferring Academic Titles Procedure**

Version	Approved by		Approval date	Effective date	
3.1	Vice-Chancellor and President		23 March 2023	23 March 2023	
Procedu	Procedure Statement				
Purpose		This procedure supports the <i>Conferring Academic Titles Policy</i> and describes the process for conferring academic titles outside the scope of the UNSW academic appointment and promotion processes.			
Scope		This procedure applies to all requests for, and decisions about, the conferral of an academic title at UNSW.			
Are Local Documents on this subject permitted?		Yes, however Local Documents must not breach mandatory requirements in University-wide Codes of Conduct, Policies, Standards and Procedures.		🗆 No	
Procedure Processes and Actions					

## 1. Overview of Procedure for Conferring Academic Titles

- **1.1.** This Procedure supports the *Conferring Academic Titles Policy* which identifies the following approved academic titles:
  - a) Senior Executive Academic
  - b) Emeritus Professor
  - c) Adjunct Academic / Adjunct Fellow
  - d) Conjoint Academic
  - e) Honorary Academic
  - f) Visiting Academic
  - g) Research Academic
  - h) Junior Visiting Research Fellow

Requirements in relation to the conferral of a Conjoint Academic Title in the Faculty of Medicine are is set out at <u>https://med.unsw.edu.au/conjoints</u>.

- **1.2.** The appropriate title, sub-title and the approving authority for the conferral of all academic titles other than those through the academic appointment and promotion processes is set out in <u>Appendix A</u>.
- **1.3.** Academic title holders will be expected to use one of the following formats:

[First Name] [Surname], [Conferred Academic Title] UNSW

OR

[Conferred Academic Title] [First Name] [Surname], UNSW

Example: Conjoint Associate Professor

Dr Jane Smith, Conjoint Associate Professor UNSW

OR

Conjoint Associate Professor Jane Smith, UNSW

Where a person holds more than one title (for example Emeritus Professor and Adjunct), they should use one or the other title as they consider appropriate, not both titles.

## 2. Initial Conferral of Academic Title

- 2.1. A request for the conferral of an academic title will normally be submitted to the Faculty Dean by the relevant Head of School/Centre Director or divisional unit manager via a <u>HR20 Request to Confer</u> an Academic Title (Adjunct / Visiting / Honorary / Conjoint) Form. For the conferral of a conjoint academic title in the Faculty of Medicine application is made online via the Faculty of Medicine <u>Conjoints webpage</u>. The Faculty Dean will either make a decision on the proposal (for titles at Level A C) or provide a recommendation (for titles at Level D E) to the Deputy Vice-Chancellor Academic & Student Life who will make a final determination. The President and Vice-Chancellor is required to approve all proposals for conferral of the title of Professor on senior executive employees of the University and on conferral of the title of Emeritus Professor.
- **2.2.** A request via the relevant Faculty / Division for the conferral of an Knowledge Exchange academic title will normally be submitted by the relevant Divisional unit manager via a <u>HR20 Request to</u> <u>Confer an Academic Title (Adjunct / Visiting / Honorary / Conjoint) Form</u>.
- **2.3.** Recommendations for level of academic title must be consistent with the levels expected for academic appointments at UNSW. The level of title needs to be justified and determined on the basis of work history and achievements and the total combination of contributions made, and expected to be made, to the School/Centre, Faculty and/or to the University. Appropriate referees should be nominated except where the proposed title holder holds or has recently held an academic appointment at a G08 or comparable overseas University.
- **2.4.** Where it is recommended that an existing or potential UNSW Professional staff member be conferred an academic title, a recommendation from the Head of School/Centre Director or divisional unit manager should be submitted to the Deputy Vice-Chancellor Academic & Student Life, via the Faculty Dean or other Deputy Vice-Chancellor, who will make a final determination.
- **2.5.** Retrospective proposals for the conferral of an academic title will not be considered by the University.

### 3. Review and Extension

- **3.1.** The conferral of an academic title must be reviewed formally at least every 3 years (at least every 5 years in the case of conjoint titles in the Faculty of Medicine).
- **3.2.** The process for conferring an academic title for a further period will be the same as set out in section 2 above. Any recommendation or decision to extend the period of conferral of an academic title must include justification based on the individual's contribution to the School/Centre, Faculty and/or to the University during the period of holding the academic title, projected future contribution and any resource implications on the School/Centre, Faculty or University.
- **3.3.** With the exception of conjoint title holders in the Faculty of Medicine or where the Deputy Vice-Chancellor Academic & Student Life determines otherwise, requests for changes to the level of the academic title will be restricted to the time of review and extension set out in 3.1 above.
- **3.4.** Conjoint title holders in the Faculty of Medicine can be promoted to a higher level via the UNSW academic promotion track. In this situation, the timing of application for promotion will be determined by the UNSW timetable.
- **3.5.** With the approval of the Deputy Vice-Chancellor Academic & Student Life and the Deputy Vice-Chancellor Research & Enterprise the level of an academic title may be altered to the relevant equivalent level in recognition of any promotion arising from rigorous processes undertaken by a relevant external body such as NH&MRC or in accordance with Faculty and/or UNSW policy.

#### 4. Withdrawal of Title

The University reserves the right to withdraw the academic title and any associated conditions and privileges in accordance with the <u>Conferring Academic Titles Policy</u>. The University may, but is not obligated to, give reasons for withdrawing an academic title.

## 5. Visa Requirements for International Academics Visiting Australia

- **5.1.** All international academics visiting Australia are required to obtain a valid visa prior to entering Australia and will also need to make their own arrangements for suitable health and travel insurance.
- **5.2.** Guidance on the most appropriate visa for each Visitor's situation should be obtained from the Australian Government Department of Human Affairs website <u>https://www.homeaffairs.gov.au/</u> or the Australian Consulate office in the Visitor's home country. A tourist visa is not suitable and is not recommended for visiting academics.
- **5.3.** The suggested visa type for each period of visiting Australia is contained in the following table:

Period of time in Australia (continuous)	Visa	Documentation required by Visitor for Department of Home Affairs
< 3 months	<ul> <li>Subclass 408 "Invited Participant in an event" activity. This option allows recognised overseas academics (not research students) to engage in a brief lecture, workshop or research activity at the invitation of a tertiary institution. The stay in Australia must not be more than 3 months. No work is permitted. A letter of invitation will be required.</li> <li>Subclass 400 ("Highly Specialised Work" stream). This option allows for recognised overseas academics (not research students) to undertake research, guest lecture or any other type of work for a higher education institution on a short term, non-ongoing basis. The stay in Australia must not be more than 3 months (although up to 6 months might be possible if a "good business case can be made out"). A letter of invitation will be required.</li> <li>Subclass 600 (Tourist or Business Entrant stream) or subclass 601 (ETA "business visitor activity") or subclass 651 (eVisitor "business visitor activity"). Immigration policy suggests that this visa could accommodate "overseas academics and overseas students wishing to visit Australia to conduct research in relation to a project or qualification they are undertaking overseas". The stay in Australia must not be more than 3 months. No work is permitted. No remuneration is permitted, other than travel or living allowance. A letter of invitation will be required.</li> </ul>	Letter of Conferral of an Academic Title from UNSW
3 months - 2 yrs	Temporary Activity (subclass 408) visa (Research Activity)	<ul> <li>Letter of Conferral of an Academic Title from UNSW</li> <li>Completed visa Application form</li> </ul>

It should be noted that it is the period of time to be spent in Australia that determines the visa required, not the length of time for which the visiting academic title is conferred. Therefore, a person conferred the title of Visiting Fellow for 2 years may only require the ETA or Temporary work visa (subclass 400) if they only intend to actually visit Australia for less than three months.

As soon as the possible conferral of a visiting academic title for an academic from an overseas institution is considered, information regarding Visa options should be sought from the Faculty HR Consultant. Seeking early assistance will minimise delays in visa processing.

- **5.4.** The Department of Home Affairs places restrictions on the type of work that can be undertaken by visiting international academics who hold the above visa types. There are industrial relations and employment issues and potential risk of breaching visa regulations surrounding Visiting Academics undertaking activities other than as described in the Home Affairs policy.
- **5.5.** The Department of Home Affairs policy permits visiting academics on 408 visas to teach on an informal basis (e.g. one-off guest lectures) during their visit provided it is not the main purpose of their stay and it remains an incidental component of their observing, or participating in, research.
- **5.6.** Under Home Affairs guidelines, the main purpose of a visiting international academic's stay is to be 'observing, or taking part in, research', which generally implies that the research project:
  - has already been established;
  - is being carried on by the inviting institution; and therefore
  - already involves other academics at the inviting institution as well as the visiting academic.
- **5.7.** Generally, it may be expected that Visiting Academics from overseas will be persons on sabbatical (or similar) leave from their current academic appointment. A Department of Home Affairs Officer may request evidence of such leave from the Visiting Academic's home institution.
- **5.8.** For information, advice or assistance with the visa application process, please contact a Department of Home Affairs Officer or the nearest Australian Embassy. Please note that UNSW staff members are not qualified to provide immigration advice or assistance. For the contact details of a Department of Home Affairs Officer please visit the Department of Home Affairs website: <a href="https://www.homeaffairs.gov.au/help-and-support/contact-us">https://www.homeaffairs.gov.au/help-and-support/contact-us</a>.

Accountabilities				
Responsible Officer	Chief Human Resources Officer			
Contact Officer	Chief Human Resources Officer			
<b>Supporting Information</b>				
Legislative Compliance	This Procedure supports the University's compliance with the following legislation: Nil			
Parent Document (Policy)	Conferring Academic Titles Policy			
Supporting Documents	HR20 – Request to Confer an Academic Title (Adjunct / Visiting / Honorary / Conjoint)         For a Conferral of a Conjoint Academic Title in the Faculty of Medicine; refer to         https://med.unsw.edu.au/conjoints         Faculty of Medicine Standard to Confer Conjoint Titles in the Faculty of Medicine         Faculty of Medicine The Conferring of Medicine Conjoint Titles - Procedure			
Related Documents	Code of Conduct         Research Code of Conduct         Intellectual Property Policy         Conflict of Interest Disclosure and Management Policy         Living Expenses for Visiting Academics			
Superseded Documents	Conferring Academic Titles Procedure, v2.0			
File Number	2020/021294			

Defin	itions and Acronyms	S					
Academic Title			An academic title includes the use of the terms Associate Lecturer, Lecturer, Senior Lecturer, Associate Professor or Professor.				
Revision History							
	on Approved by		Approval date	Effective date	Sections modified		
	n 1.0 of this Policy and its s ntments Procedure on the I		ire superseded the	Conjoint Appointments	Procedure and Visiting		
1.0	Vice-Chancellor		2 November 2010	1 November 2010			
1.1	Administrative update b Governance Support	y the Head,	22 March 2013	22 March 2013	Section 5.3, 5.4, 5.5 and 5.7 updated to reflect the change in DIAC visas		
					Position titles updated in Section 2.1, 2.2, 2.3, 3.3, 3.5,		
1.2	Administrative update by the Head, Governance Support		10 April 2013	10 April 2013	Section 5.3, changes to visas < 3 months		
1.3	Administrative update by Acting Head of Governance		27 November 2015	27 November 2015	Amendments to the Responsible Officer and Contact Officer.		
1.4	Administrative update by Acting Head of Governance		18 February 2016	29 February 2016	Administrative amendments made to changes in senior leadership positions.		
2.0	Vice-President, Human Resources		8 August 2017	15 August 2017	Full review with minor amendments		
3.0	Vice-Chancellor and Pre	esident	7 December 2020	7 December 2020	Full review, with amendments to update senior position titles, forms and link to Faculty of Medicine Conjoint webpage.		
3.1	Vice-Chancellor and Pr	esident	23 March 2023	23 March 2023	Administrative update to include the Junior Visiting Research Fellow title.		

# Appendix A

TITLE	SUB-TITLE	BACKGROUND OF RECIPIENT	APPROVING AUTHORITY	EMPLOYMENT RELATIONSHIP TO UNSW	FORM
Junior Visiting Research Fellow	A. Junior Visiting Research Fellow	<ul> <li>A postgraduate student from another university (or organisation) who is visiting UNSW for up to a maximum period of three months. The purpose of the visit must be exclusively to undertake research or related activities to meet course requirements for their overseas qualification. No extensions of Title will be granted.</li> </ul>	• Dean	No employment relationship with UNSW	<ul> <li>HR20</li> <li>Updated CV</li> <li>Confirmation of enrollment in a postgraduate program at home institution.</li> <li>Confirmation that the activity they will conduct during their visit to UNSW is a requirement of their postgraduate study program.</li> </ul>
Visiting Academic	A. – C. Visiting Fellow D. Senior Visiting Fellow E. Visiting Professorial Fellow	Academic staff from another university (or organisation) who is visiting UNSW (staff employed in home institution or organisation)	<ul> <li>Dean (for levels A – C)</li> <li>Deputy Vice-Chancellor Academic &amp; Student Life (for levels D – E)</li> </ul>	No employment relationship with UNSW	<ul> <li>HR20</li> <li>Updated CV</li> <li>Confirmation of Employment to home institute</li> </ul>
Conjoint Academic	<ul> <li>A. Conjoint Associate Lecturer</li> <li>B. Conjoint Lecturer</li> <li>C. Conjoint Senior Lecturer</li> <li>D. Conjoint Associate Professor</li> <li>E. Conjoint Professor</li> </ul>	<ul> <li>Staff from Australian Research Institutes which have a formal affiliation with UNSW</li> <li>Medical and other Health Professional staff from teaching hospitals or area health services which have a formal affiliation with UNSW who contribute to teaching and/or research</li> <li>Medical and other Health Professionals who support teaching needs on a regular basis or contributes to research</li> </ul>	<ul> <li>Dean (for levels A – C)</li> <li>Deputy Vice-Chancellor Academic &amp; Student Life (for levels D – E)</li> </ul>	No employment relationship with UNSW	<ul> <li>HR20</li> <li>Updated CV</li> <li>Confirmation of Employment</li> <li>Conjoint applications to the Faculty of Medicine; details are available at https://med.uns w.edu.au/conjoi nts Faculty of Medicine Conjoints Online Application Portal</li> </ul>

TITLE	SUB-TITLE	BACKGROUND OF RECIPIENT	APPROVING AUTHORITY	EMPLOYMENT RELATIONSHIP TO UNSW	FORM
Adjunct Academic / Industry Fellow	<ul> <li>A. Adjunct Associate Lecturer or Fellow</li> <li>B. Adjunct Lecturer or Fellow</li> <li>C. Adjunct Senior Lecturer or Fellow</li> <li>D. Adjunct Associate Professor</li> <li>E. Adjunct Professor</li> </ul>	<ul> <li>Generally expert in appropriate field from professional practice/industry, including current UNSW Professional staff</li> <li>Not necessarily academic background</li> <li>An academic from another university who is to have a continuing relationship with UNSW</li> </ul>	<ul> <li>Dean (for levels A – C)</li> <li>Deputy Vice-Chancellor Academic &amp; Student Life (for levels D – E or for any UNSW Professional staff)</li> <li>Deputy Vice-Chancellor Research &amp; Enterprise (for Knowledge Exchange Fellowships)</li> </ul>	No employment relationship with UNSW (except for Professional staff with a conferred title)	<ul> <li>HR20</li> <li>Updated CV</li> <li>Confirmation of Employment</li> </ul>
Honorary Academic	A. Honorary Associate Lecturer B. Honorary Lecturer C. Honorary Senior Lecturer D. Honorary Associate Professor E. Honorary Professor	Retired member of staff of UNSW or another university with whom UNSW wishes to continue to further develop a significant academic association	<ul> <li>Dean (for levels A – C)</li> <li>Deputy Vice-Chancellor Academic &amp; Student Life (for levels D – E)</li> </ul>	No     employment     relationship     with UNSW	• HR20
Research Academic	A. Associate Lecturer B. Lecturer C. Senior Lecturer D. Associate Professor E. Professor	A person who holds a UNSW externally funded fellowship may use the academic title equivalent to their level of appointment	<ul> <li>Dean (for levels A – C)</li> <li>Deputy Vice-Chancellor Academic &amp; Student Life (for levels D – E)</li> </ul>	Employee     of UNSW	Written     proposal
Emeritus Professor	Emeritus Professor	UNSW employed Professor retiring or retired, recognised for significant period of distinguished service and eminent contribution	President and Vice- Chancellor	No employment relationship with UNSW	Written     proposal
Senior Executive Academic	<ul> <li>Professor</li> <li>Other approved by President and Vice-Chancellor or Chancellor</li> </ul>	<ul> <li>May be conferred on non-professorial staff who hold any of the following positions</li> <li>Deputy Vice-Chancellor</li> <li>Pro-Vice-Chancellor</li> <li>Dean</li> <li>other senior executive positions</li> <li>The title is conferred at the time and for the period of the appointment to the</li> </ul>	<ul> <li>President and Vice- Chancellor</li> <li>Chancellor (if title is to be conferred on the President and Vice-Chancellor)</li> </ul>	Employed by UNSW in senior executive position	Written     proposal