

## Acknowledgement

UNSW recognises the Elders and Traditional Custodians of the unceded lands where we work and study, including the traditional lands of the:

- Bidjigal and Gadigal of Randwick, Paddington and Sydney CBD campuses
- Dharug nation of Liverpool and Parramatta
- Biripai people of Port Macquarie campus
- Gumbaynggir people of Coffs Harbour campus
- Ngunnawal and Ngambri peoples of UNSW Canberra campus
- Wilykali people of Fowler's Gap
- Wiradjuri people of the Albury, Griffith and Wagga Wagga campuses and
- Indigenous peoples across Australia.

We pay our respects to Indigenous students, scholars and significant thought leaders from the past and present. We value the esteemed contemporary and traditional knowledges that Australian Indigenous peoples contribute to our academic excellence. We advocate for the positive impact of Indigenous knowledges founded within communities and culture across Australia. UNSW acknowledges our role in past injustices and we are committed to honesty, truth-telling and justice.

## UNSW Code of Conduct and Values

UNSW is building a positive, productive and open culture. Our [UNSW community](#) acts with integrity, honesty and trust. We display respect, demonstrate excellence, drive innovation, build collaboration and embrace diversity. UNSW prioritises physical and psychosocial (including cultural) safety.

We work together to achieve high quality student experiences and engagement, teaching, research and operations to create transformative social, economic, environmental, health and wellbeing impacts. We are inclusive and operate in diverse national and international contexts. We make sustainable decisions for people, society and our planet through hearts, hands and minds.

We make ethical decisions and apply substantive and procedural fairness. We are socially responsible across all areas of our research, teaching, educational activities, business, processes and systems.

This Code of Conduct and Values (Code) applies to all members of the UNSW community. We are all accountable for our actions and for meeting the principles and responsibilities of this Code.

If we see something that does not align with this Code or the law, we speak up and do not tolerate detrimental action. We are active bystanders and support one another.

The UNSW community respects human rights. There is no place at UNSW for any form of discrimination, harassment, vilification, victimisation, retaliation, bullying, violence, racism or modern slavery. We are mindful of power imbalances, rights and responsibilities when we: communicate and interact with people, learn, research, teach, supervise, work, make choices, employ, and purchase goods and services.

UNSW is committed to freedom of speech and academic freedom. [The Model Code for the Protection of Freedom of Speech and Academic Freedom in Australian Higher Education Providers](#)<sup>1</sup> forms part of this Code.

We act professionally, lawfully and we comply with [UNSW policy documents](#).

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<sup>1</sup> The Model Code was developed by former High Court Chief Justice the Hon Robert French AC, as modified and adopted by the University Chancellors Council.

# The UNSW community

The UNSW community comprises students<sup>2</sup>, all employees, affiliates<sup>3</sup> and contractors/consultants<sup>4</sup>.

Code principles and responsibilities apply to any function, action, inaction and communication by the UNSW community at, for or on behalf of UNSW during and outside of normal business hours including (but not limited to) the following contexts:

- on all premises where study, research<sup>5</sup>, work or work integrated learning is undertaken on campus, in facilities or at conferences, clinical or work placements, internships, exchanges, fieldwork, or other types of practicum
- in virtual spaces<sup>6</sup> and systems arranged or facilitated by UNSW, or connected to UNSW study, research, work or the student experience
- when using UNSW technology assets
- in Australia and overseas
- through interactions with third parties
- by Arc@UNSW and at club activities
- in residential accommodation owned, operated by, or affiliated with UNSW including live-in accommodation
- at social, sporting and cultural activities.

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<sup>2</sup> Students includes former student's conduct while a student, exchange students, candidates and applicants for admission or enrolment as students.

<sup>3</sup> Affiliates means conferred title holders; agency/labour hire staff; members of University committees; visitors from other universities, and any other person appointed or engaged by the University to perform duties or functions for the University (including volunteers).

<sup>4</sup> Contractors/consultants means people who have been engaged by UNSW (including via their own personal company) to perform University duties or functions alongside UNSW staff and anyone else who has agreed to comply with our Code of Conduct and Values. This excludes contractors/consultants such as consultancy or services companies that provide services or products to UNSW (eg. construction companies, electrical contractors, large accountancy consultancy firms etc.)

<sup>5</sup> UNSW adopts the definition of research and researcher as defined in the [Australian Code for the Responsible Conduct of Research](#).

<sup>6</sup> Virtual spaces include online forums, communities, platforms and social media.

# Principles and responsibilities

## 1. Principle 1: We are an inclusive UNSW community where everyone belongs

Responsibilities for this principle include:

### 1.1. We are all accountable for our actions and failures to act

As members of the UNSW community, our behaviour and communication must comply with the law and this Code. Where our behaviour and communication falls short, we will be held accountable.

We comply with reasonable requests, managerial direction and with UNSW policy documents.

All employees, affiliates and contractors/consultants have an overarching responsibility to act in the best interests of UNSW at all times when representing the university.

### 1.2. We take care for the health, safety and wellbeing of ourselves and others

We take reasonable care for the wellbeing, psychosocial and physical [health and safety](#) of ourselves and others. We [report health and safety](#) hazards and concerns promptly.

We comply with relevant health and safety laws and act to prevent/minimise, report and improve systems to eliminate or minimise (so far as is reasonably practicable) the frequency and duration of psychosocial and physical safety hazards, including in higher risk environments such as laboratories and workshops.

We do not attend work, studies or perform duties or functions for or on behalf of the University while impaired by substances such as drugs or alcohol. We do not possess, use or bring prohibited or unlawful weapons or substances to UNSW campuses or events.

### 1.3. We foster a safe environment that is equitable, diverse and inclusive

UNSW fosters a safe, inclusive and respectful environment conducive to connection and the success of all peoples, including Indigenous peoples and our diverse communities.

UNSW fosters a learning and working environment free from discrimination, harassment and vilification.

Students and staff are encouraged to understand how their cultural experiences impact upon their interactions and must display respect as they seek to understand each other's perspectives.

Equity, diversity and inclusion are essential to UNSW's success. UNSW values diversity of thought, culture, gender, strengths and experience. We believe that an equitable,

accessible, inclusive and collaborative culture underpins the student experience, research and teaching excellence. The UNSW *Equity, Diversity and Inclusion Policy* further articulates our commitments.

#### **1.4. We speak up and build an active bystander culture**

UNSW supports those who wish to speak up. If you observe or learn of something that does not align with this Code or the law, you are encouraged to report it.

We speak up, where it is safe to do so:

- on matters relating to ourselves and
- as a bystander, to respectfully call out inappropriate or unsafe behaviour or communication that impacts members of the UNSW community.

Your voice matters. All members of the UNSW community listen actively and respond respectfully (without victimisation) to concerns, regardless of the seniority of the person who raises them, or who the concern is about.

If you are not comfortable to speak directly with the person/s concerned, we encourage you to Speak Up and make a [report](#). UNSW supports a safe learning, research and work environment and we encourage people to be respectful when raising concerns.

#### **1.5. We support one another**

At times, complex experiences can be challenging to navigate. We care for individuals and their wellbeing, and we encourage you to seek [support](#) including if you have:

- been affected by a breach of this Code or
- reported a matter relating to your peers or colleagues or
- are otherwise involved in a University process or investigation.

## **2. Principle 2: We act respectfully**

Responsibilities for this principle include:

### **2.1. We agree to clear boundaries for respectful interaction**

#### **2.1.1. There is no place for bullying**

All people are treated with dignity as expressed in UNSW policy documents. We are reasonable in our expectations of others and, so far as is reasonably practicable, protect the health and safety of ourselves and others.

#### **2.1.2. There is no place for harassment**

We do not intimidate or humiliate people and we comply with UNSW policy documents. We intervene early to prevent incidents of harassment from happening and reoccurring.

### 2.1.3. There is no place for unlawful discrimination

We promote equal opportunity between all people and take active and reasonable steps to maximise access to all opportunities including education, scholarships, work-integrated learning, practicums and work.

We do not engage in unlawful discrimination, harassment, racism or vilification. We are committed to preventing discrimination, harassment, racism and vilification in practices, policies and ideas. We embrace and support the rich perspectives and excellence that all kinds of diversity including cultural, linguistic and religious diversity brings to our community, including student and staff experiences, cultural immersion and development. We do not incite or tolerate hatred or contempt towards any person because of their cultural or religious belief.

Education, research, work, residential and social environments are free of discrimination on the grounds of sex, and we are inclusive of members of LGBTIQ+ communities. We support a safe learning and work environment for students and research supervisors. The [Principles for Respectful Supervisory Relationships](#) form part of this Code. A breach of the *Principles for Respectful Supervisory Relationships* forms a breach of this *Code of Conduct and Values*.

We expect gender equity and we have a positive duty to act and eliminate sex discrimination, sexual harassment and victimisation as far as possible.

### 2.1.4. There is no place for violence including gendered violence

We are all accountable for ensuring (so far as is reasonably practicable) learning, research, work and social environments are protected from violence, including physical harm, verbal abuse and gendered violence.

We seek to prevent and reject gendered violence in the UNSW community. We respond appropriately with a trauma informed approach to reports of gendered violence.

### 2.1.5. There is no place for victimisation and retaliation

We do not treat people unfairly or engage in retaliatory action because a person has chosen to speak up, make a complaint or raised concern about discrimination or harassment.

### 2.1.6. There is no place for child exploitation, harassment, neglect or abuse

We are committed to the safety, protection and wellbeing of children involved in UNSW activities. Children must be treated with respect and we adhere to UNSW [policy documents](#).

### 2.1.7. There is no place for modern slavery

We respect and protect human rights by implementing practices to identify and mitigate risks of [modern slavery](#) in our supply chains and operations. We perform due diligence to respond to modern slavery risks, especially where we conduct research or engage research services, invest funds, and manage facilities and contracts.

#### 2.1.8. There is no place for foreign interference

We do not accept foreign interference in our UNSW community and we report any reasonably suspected [interference](#) via the [National Security Hotline](#).

### **2.2. We communicate openly and respectfully**

We seek to create high quality learning, research and work environments to improve student experience, promote educational excellence, advance research quality and achieve impact.

- We are inclusive, responsive and collaborative
- We activate and support respectful and vigorous debate
- We engage in critical thought and open discussion, including with respect to controversial ideas within the law and this Code
- We create space for exploring and debating changing perspectives
- We demonstrate respectful disagreement or feedback, expecting that we may be asked to explain or give evidence for our views
- We accept differences amongst each other – both seen and unseen
- We permit others to express disagreement without fear of reprisal
- We engage in respectful and inclusive conversations and debates; appreciation and critique.

We demonstrate respect by not behaving in a way that significantly disrupts or interferes with learning, research and other business activities.

### **2.3. We practice and protect academic freedom, freedom of speech and freedom of expression**

The UNSW community must comply with the [Model Code for the Protection of Freedom of Speech and Academic Freedom in Australian Higher Education Providers](#) (*Model Code*) that forms part of this Code.

We welcome speakers and visitors of all backgrounds, religions and beliefs at UNSW in accordance with the *Model Code*.

Freedom of speech and academic freedom is a defining value exercised by the UNSW community, restricted only by the law and the Model Code. Where there is a conflict between the Model Code and the other parts of this Code, the Model Code will prevail.

## **3. Principle 3: We act with integrity, honesty and trust**

Responsibilities for this principle include:

### **3.1. We respect intellectual property including moral rights**

The ownership and management of Intellectual Property including copyright is outlined in policy documents. We act lawfully in respecting the Intellectual



Property rights, including copyright, of others in teaching, learning, research and work, including in virtual spaces and systems arranged or facilitated by UNSW, and when using UNSW technology assets. We ensure accurate attribution, respecting the moral rights of others in teaching, learning, research, and work.

### **3.2. We are accurate, transparent and operate with integrity when we conduct or communicate our studies, research, work and identity**

We observe academic and research integrity and our research methodologies are rigorous. We accurately record and report data and research findings.

We give appropriate credit for the work of others, including authorship to all significant contributors in our collaborative studies and research. We do not engage in gift authorship. We correctly cite and reference academic and research outputs of ourselves and others. We do not [plagiarise](#) or fabricate research, academic work or applications. We use and [acknowledge](#) the use of [artificial intelligence](#) appropriately.

We are honest and truthful when we write, edit and submit content including assessments, research, identity documents, enrolment related information, and applications for or related to study, employment or funding. We do not falsify or misrepresent our own or other people's research, work, communications, actions or identity. We do not collude, ghost write or engage in any form of cheating.

We behave ethically and with integrity, avoiding any action or behaviour that would unfairly advantage or disadvantage either ourselves or another person. We are honest about our identity and do not share our University login credentials with others.

Students accept and follow the instructions required for each individual task or assessment, including guidelines set by the course or program regarding any use of artificial intelligence.

### **3.3. We conduct research responsibly and with integrity**

We conduct and manage research in compliance with legislation and industry regulations, codes, principles, guides and resources. We adhere to the principles and responsibilities as set out in the [Australian Code for the Responsible Conduct of Research](#) and we adopt the following guides as amended from time to time:

- *Authorship*
- *Collaborative research*
- *Disclosure of interests and management of conflicts of interest*
- *Management of data and information in research*
- *Peer review*
- *Publication and dissemination of research*
- *Supervision and*
- *Research integrity advisors.*



Departures from the standards of conduct outlined in the [Australian Code for the Responsible Conduct of Research](#) and adopted guides may constitute a breach of that Code and this *Code of Conduct and Values*.

### **3.4. We conduct research ethically and with appropriate approvals**

In accordance with the *Australian Code for the Responsible Conduct of Research*, we ensure that appropriate approvals, licenses, permits and agreements are obtained before the commencement of research, and that they are adhered to during the conduct and dissemination of research. We comply with legislation, codes and requirements published by [UNSW Research Ethics and Compliance](#) including:

- the [AIATSIS Code of Ethics for Aboriginal and Torres Strait Islander Research](#)
- the [National Statement on Ethical Conduct in Human Research](#)
- the [Australian Code for the Care and Use of Animals for Scientific Purposes](#)
- [Animal Welfare Act \(ACT\)](#)
- [Animal Research Act \(NSW\)](#)
- [Biosecurity Act \(ACT\)](#)
- [Biosecurity Act \(NSW\)](#)
- [Biosecurity Regulation \(NSW\)](#)
- [Protection from Harmful Radiation Regulation \(NSW\)](#)
- [Radiation Protection Act \(ACT\)](#)
- [Radiation Protection Regulation \(ACT\)](#)
- [Research Export Controls Procedure](#) and
- [Remotely Piloted Aircraft Systems Procedure](#).

### **3.5. We minimise risk of harm when conducting research**

We respect the safety and privacy of research participants, and the impact of research on the wider community and the environment. We ensure that respect underpins all decisions and actions related to the care and use of animals in research.

We apply ethical principles of research merit and integrity, justice and respect in the conduct of all research and research exports. We treat human research participants and communities engaged with research with care and respect, giving appropriate consideration to identify the needs of people from diverse or vulnerable backgrounds. The welfare of research participants is monitored in all research studies and trials.

We adhere to Indigenous data sovereignty and governance.

We use research materials responsibly.

#### 4. Principle 4: We act responsibly and professionally

Responsibilities for this principle include:

##### 4.1. We use and care for all UNSW and third-party resources responsibly and respectfully

We use UNSW technology for educational, research and business purposes in accordance with UNSW [policy documents](#).

We are responsible and respectful online. We carefully manage our UNSW digital footprint. We do not impersonate or misrepresent another person. We keep our login details safe and secure and we must not disclose passwords to anyone else.

We carefully manage our access credentials including ID cards and restricted keys, we do not share them with others and we report any mislaid, lost or stolen access credentials immediately.

We are mindful that resources are shared and used appropriately by all members of the UNSW community. We acknowledge that there are consequences for misuse or damage of:

- buildings, equipment and grounds
- [the library and the UNSW Library collection](#)
- UNSW and third-party intellectual property, copyright and moral rights in student works, teaching materials, research outputs and information
- UNSW owned, operated and affiliated residential colleges
- technology assets
- the UNSW art collection and
- off-campus venues in diverse contexts, including clinical or work placements, fieldwork, practicums and work-integrated learning.

We do not use UNSW resources, name or crest for private gain, the gain of a third party, private business, spinout or commercial purposes, without prior written permission.

We do not use University facilities or resources for purposes other than that for which they are intended to be used. This includes, for example, not following instructions for using equipment safely, or sleeping overnight on University grounds (unless it is in designated accommodation).

We are a publicly funded organisation and manage UNSW finances responsibly in accordance with our statutory and contractual responsibilities and UNSW policy documents.

#### **4.2. We maintain independence and accurately disclose and manage conflicts of interest and commitments**

Where we have an actual, perceived or potential [conflict of interest](#) (whether in relation to disciplinary, professional, commercial or personal matters), are involved in [outside work \(as an academic or professional staff member\)](#), or accept gifts and benefits, these are fully disclosed and managed in accordance with UNSW policy documents.

#### **4.3. We prevent, detect, report and respond to serious wrongdoing and fraud and corruption risks**

We do not engage in serious wrongdoing<sup>7</sup>. We are responsible for [reporting suspected serious wrongdoing](#) and acknowledge that specific legal protections apply to us when we report or assist in a serious wrongdoing investigation.

We speak up against corruption which can include fraud, theft, bribery, unreasonably influencing others, acting dishonestly or unethically in a way that constitutes or involves a breach of public trust, and the misuse of intellectual property, resources, information technology, materials and information.

We identify, manage, report and mitigate corruption risks through systems and processes.<sup>8</sup>

#### **4.4. We maintain the University's positive reputation and good standing**

As representatives of UNSW, we conduct ourselves in a way that maintains and does not diminish public trust in the University's reputation and good standing. This includes behaving in a manner in compliance with this Code, while engaged by or representing UNSW in any capacity.

#### **4.5. We respect privacy and confidentiality**

We manage information, data and records in accordance with legislation and UNSW policy documents.

We ensure lawful protection of individuals' privacy and maintain confidentiality of information. We provide reasonable access to records held about you. We are responsible and accountable to identify, assess, manage and respond to a breach of UNSW-held data. We take reasonable precautions to prevent unauthorised use or disclosure of confidential or personal information and research data.

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<sup>7</sup> Serious wrongdoing means one or more of the following: (1) Corrupt Conduct, (2) a government information contravention, (3) a local government pecuniary interest contravention, (4) Serious Maladministration, (5) a privacy contravention, and (6) a serious and substantial waste of public money.

<sup>8</sup> The University provides a fraud and corruption control ecosystem including cyber security, employment screening, financial audits and anti-bribery systems to prevent, detect and respond to fraud and corruption risks.

## 5. Principle 5: We act lawfully and comply with this Code, policy documents and regulation

Responsibilities for this principle include:

### 5.1. Our work aligns with the principles and responsibilities of this Code and our legal obligations

The UNSW community complies with:

- all relevant Commonwealth and State laws including specific legislative obligations and standards for tertiary education providers
- this Code and UNSW policy documents
- if you are an employee, the Academic Staff and/or Professional Staff Enterprise Agreements and your employment contract
- managerial directions
- directions of security and emergency responders during incidents and emergencies
- if you are a student, conditions of enrolment and
- if you are a student or employee, eligibility requirements for admission to the University.

We all:

- keep our contact details entered in myUNSW current at all times
- in a timely manner read and, if required, respond to all communications sent by UNSW to our UNSW email address or via Moodle, Microsoft Teams or other university recognised forms of communication during normal work hours
- correctly identify ourselves and produce our student card/staff access card and other forms of photo identification upon request
- complete all mandatory training in a timely manner.

We will engage in regular communications regarding the requirements of this Code.

## Compliance with this Code

Breaches of this Code will be managed by the University in accordance with relevant policies and procedures, including:

- Alleged breaches of this *Code* will be managed in accordance with the definitions, responsibilities and processes outlined in the [Complaints Management and Investigations Policy and Procedure](#).
- Management of allegations of misconduct and serious misconduct by employees is also detailed in in the University's Enterprise Agreements.

- Reports of serious wrongdoing are managed in accordance with the [Public Interests Disclosure \(Whistleblowing\) Policy and Procedure](#).
- Reports of plagiarism will be managed in accordance with the [Plagiarism Policy](#).

UNSW has the responsibility to report certain breaches including suspected breaches of this Code to external agencies.

If an individual is unsure about their obligations in any circumstance or situation, they should seek [guidance](#) before taking any action.

## Application of this Code

This Code stands beside but does not exclude or replace other legally binding obligations, enterprise agreements, and standards.

The relationship between this Code and other University-wide policy documents is governed by the Policy Framework Policy, as amended or replaced from time to time.

Nothing in this Code imposes any contractual duties implied or otherwise, on the University.

The University may alter, vary or remove anything in this Code, in accordance with the Policy Framework Policy, as amended or replaced from time to time.

Any reference in any document to any of the following four Codes is, from the effective date, taken to be a reference to this Code of Conduct and Values:

- Research Code of Conduct
- Staff Code of Conduct
- Student Code of Conduct
- Academic Freedom and Freedom of Speech Code of Conduct.

**Approved by UNSW Council:** 8 April 2024

**Responsible:** Vice-Chancellor and President

**Policy Lead:** Deputy Vice-Chancellor Transformation, Planning and Assurance

**Effective:** 17 May 2024