



DPAU PUBLICATIONS AND ACKNOWLEDGMENT POLICY

This policy defines the principles guiding the DPAU acknowledgement policy, and sets out the obligations on the involved parties, which align with the legal context of the DPAU Data Deposit Agreement and DPAU Data Access Agreement.

Background

This document explains the expectations of DPAU and its funders with regards to authorship and the acknowledgment of DPAU funding associated with scientific and other outputs. It aims to promote awareness of the scientific opportunities provided by DPAU and to facilitate access to scientific findings that have been obtained through DPAU funding.

The policy is structured around DPAU projects using DPAU for data access and analysis.

Principles

The policy is based on four principles:

1. Maximising awareness of and access to findings established by DPAU activity;
2. Promoting awareness of the scientific opportunities provided by DPAU;
3. Establishing the provenance of datasets and analyses conducted within DPAU;
4. Acknowledging the broad range of contributions that establish data provenance.

Publications and Authorship Guiding Principles

The key guiding principles for publications and authorship are as below:

- The author line should recognise responsibility for the scientific integrity of any publications.
- Criteria for authorship should broadly follow the International Committee of Medical Journal Editors criteria. These criteria include data acquisition, and it is anticipated that Contributing Research Studies (CRS) providing the data for projects will have the option of being represented by a named individual in the author's list.
- Authorship roles should be identified using the categories of academic contribution proposed by the digital taxonomies project and available on the CRediT website (see <https://casrai.org/credit/>).
- The contributors' line should recognise the role of supporting scientists including individuals involved in data acquisition, infrastructure build and maintenance and methods development.
- Contributorship roles should be identified using the categories of academic contribution proposed by the digital taxonomies project. (CRediT website: see <https://casrai.org/credit/>).

The policies of DPAU are informed by the policies of the Centre for Healthy Brain Ageing, UNSW Sydney, the advice of UNSW Legal, and the policies and procedures of Dementias Platform UK (PDUK). DPAU acknowledges the in-kind contribution and support received from DPUK, and their trailblazing in making valuable research data widely available to bona fide researchers.

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- The principles discussed in the following report should be considered: IWCA Report (2012). Report on the International Workshop on Contributorship and Scholarly attribution. 2012 Harvard University and the Wellcome Trust: <https://doi.org/10.6084/m9.figshare.96831>

Procedures

For published manuscripts

The following must be included:

- **Author line recognition:** this must recognise the responsibility for the scientific integrity of the manuscript
- **Contributors line:** this must recognise the role of supporting scientists including those involved in data acquisition, infrastructure build and maintenance, and methods development
- **Acknowledgement section (to include one of the following, as appropriate):**

This research was facilitated through access to Dementias Platform Australia (DPAU: <https://dementiasplatform.com.au>), a secure data sharing resource hosted by the Centre for Healthy Brain Ageing at the University of New South Wales Sydney, Australia. The establishment of DPAU is supported by grants [3RF1AG057531-01S1 & 2R01AG057531-02A1] from the National Institute of Health (NIH) to the Cohort Studies of Memory in an International Consortium (COSMIC) and a National Collaborative Research Infrastructure Strategy (NCRIS) investment [P20E-058] from Australian Research Data Commons' (ARDC) 2020 Platforms program.

This research was facilitated through access to Dementias Platform Australia (DPAU) hosted by the University of New South Wales Sydney, Australia. The National Institute of Health (NIH) supports DPAU through grant [3RF1AG057531-01S1 & 2R01AG057531-02A1], the Australian Research Data Commons (ARDC) supported DPAU through grant [P20E-058].

- **Other acknowledgements:** data providers should be acknowledged as participants in the research unless there has been a specific statement to the contrary that this is not necessary given by the data provider. It is the lead applicant's responsibility that all relevant CRS are consulted on the appropriate acknowledgement of their studies, and that these are included in publications. It is anticipated that CRS providing the data for projects will have the option of being represented by a named individual in the author's list, otherwise, this would be recorded in the acknowledgements section as opposed to a formal authorship.

For conference poster presentations or grant applications

For conference poster presentations, or grant applications utilising data obtained from the DPAU, the following must be used:

This research was facilitated through access to Dementias Platform Australia (DPAU) hosted by the University of New South Wales Sydney, Australia. The National Institute of Health

The policies of DPAU are informed by the policies of the Centre for Healthy Brain Ageing, UNSW Sydney, the advice of UNSW Legal, and the policies and procedures of Dementias Platform UK (PDUK). DPAU acknowledges the in-kind contribution and support received from DPUK, and their trailblazing in making valuable research data widely available to bona fide researchers.

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Please add a copy of the DPAU logo to your poster. A high-quality logo can be obtained by contacting dpau@unsw.edu.au.

For published abstracts

Reference to DPAU should be made in the text body.

What else is required of DPAU projects?

The requirement to keep DPAU informed when submitting publications

For any DPAU projects that involved the use of data governed by a DPAU Data Access Agreement, the publication procedure detailed in Schedule 1 of the Data Access Agreement should be followed.

Briefly, DPAU users should provide DPAU with details of all publications arising from DPAU projects, supply a copy of such publications with written notice to UNSW and relevant CRS 30 working days prior to the submission. UNSW will liaise with the relevant CRS who have 30 working days to object to, or request a delay to, the publication for the accuracy or patent reasons, and/or request deletion of CRS confidential information. If objections are made, the UNSW team will work with the objector and publishing team to facilitate discussions, with the aim of resolving any outstanding issues. DPAU users should update DPAU as soon as the paper is submitted, accepted and published so DPAU is aware of all results entering the public domain and there is sufficient notice to arrange any publicity of the findings.

Open access requirements

The Open Access requirements of DPAU are based on the requirements of its funder (National Institute of Health, NIH).

The **NIH Public Access Policy** requires research papers describing research funded by the National Institutes of Health must be available to the public free through PubMed Central within 12 months of publication. PubMed Central is the self-archiving repository in which authors or their publishers deposit their publications.

If you have any questions on this policy, please contact dpau@unsw.edu.au.