

Modern Slavery – Supply Chain Output Framework

The business areas and contract managers will manage & monitor ongoing compliance

Strategic

Strategic Procurement (SP) Responsibility

- Establish framework - Sustainable Procurement Framework + MS Focus Plan
- Actively participate on AUPN MS Working Group initiatives
- Assess risk categories across UNSW spend data
- Identify Action Items to be completed within the yearly reporting cycle
- Identify awareness, training and supplier engagement
- Determine records management, metrics for progress and identify corrective action plans

SP Outputs

- Share knowledge with UNSW controlled entities
- Deliver enterprise wide Procurement Governance
- Collaborate with 5-10 suppliers/high risk categories annually
- Onboard a SME firm to work through corrective action plans
- Deliver templates & toolkit for all UNSW. Deliver annual Statement reporting
- Implement Supplier Charter for UNSW
- Develop MS website (internal/external)

Strategic Procurement facilitate and arrange contract execution >\$350k

Strategic Procurement **will not manage** the ongoing supplier relationship, post execution of contract

<\$350k- Strategic Procurement will enable the business but will not lead or be accountable for supplier management outputs below the line

Strategic Procurement Enablers- Supplier Management

- Assist with facilitating supplier meetings
- Identify using risk analysis key suppliers/categories appropriate operational stakeholders
- Assist with developing corrective action plans with business (& through SME)
- Template/Toolkit to use <\$350k and for supplier monitoring and management
- Deliver awareness and at times deliver or arrange training for contract managers
- Perform internal spot audits on compliance & record findings

Business Areas Outputs – Supplier Management

- Undertake RFQ's <\$350k using templates/toolkits issued by SP
- Develop corrective action plans & manage records
- Report into the bi-monthly working group with progress updates
- Comply with the use of enterprise wide technology solution for monitoring progress (when available)
- Establish an annual or quarterly action plan- reviewed by SP
- Comply with spot audits (internal/external)
- Identify MS Champions within area to assist in creating a MS UNSW Network. Champions will deliver awareness and training within their local areas
- Deliver annual Statement reporting

Legal Office & Compliance Unit

- Ensure all obligations under the Act have a University Compliance Owner responsible for establishing the necessary internal controls to meet our obligations
- Conduct annual certification with University Compliance Owners, Heads of School and Heads of Divisional Units to assess risks of breaching the Act
- Report to Risk Committee and Management Board on compliance breaches and certification results
- Provide legal advice to business units to assist in the prevention or remediation of modern slavery risks, e.g. contract clauses, Charters etc

Operational